

СПИСОК МЕСТ ИСПОЛНЕНИЯ РАСПОРЯЖЕНИЙ КЛИЕНТОВ НА РЕГУЛИРУЕМЫХ РЫНКАХ

Приложение к Политике исполнения распоряжений в сфере оказания инвестиционных услуг

AS "CITADELE BANKA" ЯВЛЯЕТСЯ ЧЛЕНОМ БИРЖИ, ИМЕЯ ПРЯМОЙ ДОСТУП К:

- OMX Rīgas Fondu Birža
- OMX Tallin Stock Exchange
- OMX Vilnius Stock Exchange

ПРИ ПОСРЕДНИЧЕСТВЕ БРОКЕРОВ AS "CITADELE BANKA" ИМЕЕТСЯ ДОСТУП К СЛЕДУЮЩИМ БИРЖАМ И МЕСТАМ ИСПОЛНЕНИЯ РАСПОРЯЖЕНИЙ КЛИЕНТОВ:

Акции стран Европы

- Athens Stock Exchange
- Budapest Stock Exchange
- OMX Copenhagen Exchange
- Euronext.liffe
- Frankfurt Stock Exchange (including Xetra)
- OMX Helsinki Exchange
- London Stock Exchange
- Madrid Stock Exchange
- Milan Stock Exchange
- NYSE Euronext Amsterdam Stock Exchange
- NYSE Euronext Brussels Stock Exchange
- NYSE Euronext Lisbon Stock Exchange
- NYSE Euronext Paris Stock Exchange
- Oslo Stock Exchange
- Prague Stock Exchange
- OMX Stockholm Exchange
- Sofia Stock Exchange
- SWX Swiss Exchange
- Vienna Stock Exchange
- Warsaw Stock Exchange

Акции России и других стран СНГ

- Georgian Stock Exchange
- Kazakhstan Stock Exchange
- MICEX (Moscow Interbank Currency Exchange)
- RTS (Russian Trading System Stock Exchange)

Акции стран Северной Америки

- American Stock Exchange
- NASDAQ
- New York Stock Exchange
- NYSE Arca
- Toronto Stock Exchange

Акции других стран

- Australian Securities Exchange
- Hong Kong Stock Exchange
- Istanbul Stock Exchange
- Johannesburg Stock Exchange
- Korea Exchange
- Stock Exchange of Singapore
- Tel-Aviv Stock Exchange
- Tokyo Stock Exchange

Российские облигации

- MICEX (Moscow Interbank Currency Exchange)

Биржевые инструменты - деривативы

- BlueNext
- CME Group:
 - Chicago Board of Trade
 - Chicago Board Options Exchange
 - Chicago Mercantile Exchange
 - CME Globex
- ECX (European Climate Exchange)
- EUREX Deutschland / EUREX Zurich
- ICE (Intercontinental Exchange)
 - ICE Futures Europe
 - ICE Futures U.S.
- LME (London Metal Exchange)
- NYMEX / COMEX (New York Mercantile Exchange)
- NYSE Euronext Derivatives Market:
 - Amsterdam
 - Brussels
 - Lisbon
 - Paris
 - NYSE Liffe

(Index & equities futures, options):

- Hong Kong Exchanges and Clearing Ltd.
- IDEM / Borsa Italiana (Italian Derivatives Exchange Market)
- MEFF (Madrid Exchange for Financial Futures)
- Montreal Exchange
- Osaka Securities Exchange
- Singapore Exchange Ltd.
- Taiwan Futures Exchange

- Tokyo Financial Exchange
- Vienna Stock Exchange

Опции на акции

- NASDAQ
- New York Stock Exchange
- NYSE Arca

Доли инвестиционных фондов

- Aviva Fund Services S.A.
- Banque DeGroof Luxembourg S.A.
- Brown Brothers Harriman (Luxembourg) S.C.A
- Capita Financial Group
- Citco Fund Services
- Cyproman Services Ltd
- DWS Investment S.A.
- East Capital Assets Management AB
- European Fund Administration SA
- Franklin Templeton International Services S.A.
- GAM Fund Management Limited
- Hansabank Est
- HSBC Trinkaus & Burkhardt KGaA
- Man Investments AG
- Meriden I.F.M.
- MM Warburg & Co Luxembourg S.A.
- Citadele Investiciju Valdymas
- Raiffaizen Zentralbank Osterreich Aktiengesellschaft
- Sampo Fund Management Ltd
- UBS AG, Zurich
- Schroder Investment Management (Luxembourg) S.A.

AS „Citadele banka” может исполнять распоряжения клиентов, заключая сделки от своего имени, со следующими финансовыми инструментами:

Облигации, которые торгуются на внебиржевом рынке (OTC)

Акции, которые торгуются на внебиржевом рынке (OTC)

Маржинальный Forex

OTC деривативы (акции, облигации, товар)

IRS, валютные SWAP и Forward, NDF

Другие структурированные продукты (акции, облигации, обмен валют, товар)

Список брокеров AS „Citadele banka” для сделок с финансовыми инструментами:

- ADM Investor Services International Limited
- AK Jensen Inc
- Allen Finance LLC (RU)
- Balkan Advisory Company IP EAD
- Barclays Capital
- BCC Invest JSC (KZ)
- BNP Paribas S.A.
- Calenia Investment Limited *
- Capman AD
- City Index Limited(ex-IFX Markets Ltd)
- Credit Suisse Asset Managemtn Fund Services (Luxembourg) S.A.
- Dom Inwestycyjny BRE Banku S.A.
- Evli Securities AS (EE)
- Galt and Taggart Securities JSC (GE)
- GFI Securities Limited
- Goldman Sachs International
- HSBC Trinkaus & Burkhardt KGaA
- Jefferies & Company Inc
- JP Morgan Chase Bank NA
- KIT Finance Europe AS (EE)
- KIT Finance Investment bank OJSC
- Marex Financial Ltd
- Merrill Lynch International
- MF Global UK Limited
- Mitsui and Co. Energy Risk Management Ltd
- Mizuho Int. Plc
- Morgan Stanley & Co International (UK) (previously business transacted with Morgan Stanley Capital Group)
- ODL Securities Limited
- Pareto Securities AS
- Citadele Bankas AB, (LT) *
- Pinnacle Capital Markets Inc
- Raiffeisen Bank Aval OJSC (UA)
- Regalite Holding Limited *
- Societe Generale Asset Management S.A.
- Solid IFK CJSC (RU)
- Standard Bank Plc
- Unibank Commercial Bank OJSC (AZ)
- Unicapital OJSC (AZ)
- Wood & Company Financial Services, a.s.
- View Trade

Список депозитариев.

- Aviva Investors Luxembourg S.A
- Baku Stock Exchange” CJSC (AZ)
- Balkan Advisory Company IP EAD
- Banque DeGroof Luxembourg S.A.
- BRE Bank Warsaw
- Brown Brothers Harriman (Luxembourg) S.C.A.
- Calenia Investments Limited *
- Capita Financial Group
- Capman Inc
- Citco Fund Services
- Clearstream Banking SA
- Cyproman Services Ltd
- Depository-Clearing Company, CJSC (ДКК)
- DWS Investment S.A.
- East Capital Assets Management AB
- Eesti Vaartpaberikeskus AS (EE)
- European Fund Administration SA
- Franklin Templeton International Services S.A.
- GAM Fund Management Limited
- HSBC Trinkaus und Burkhardt KGAA
- Igaunijas Centrālais Depozitārijs
- JPMorgan Chase Bank Ltd
- JSC Galt and Taggart Securities
- KIT Finance Europe AS (EE)
- Latvijas Centrālais Depozitārijs
- Man Corporate Services (Ireland) Limited
- Meriden I.F.M.
- MF Global
- Citadele Bankas AB (LT) *
- Citadele Investiciju Valdymas UAB (LT)*
- Penson Financial Services, Inc
- Raiffaizen Zentralbank Osterreich Aktiengesellschaft
- Raiffeisen Bank Aval OJSC (UA)
- Regalite Holdings Limited *
- Sampo Fund Management Ltd
- Schroder Investment Management (Luxembourg) S.A.
- SEB Bankas AB (LT)
- SHB, Copenhagen
- SHB, Helsinki
- SHB, Oslo
- SHB, Stockholm
- Siebert
- Solid IFK CJSC (RU)
- SWEDBANK AS (EE)
- UBS AG, Zurich
- VTB Bank OJSC (RU)

В список включены дочерние предприятия AS „Citadele banka” и предприятия, входящие в группу „Citadele banka”.